



## SECURITIES AND EXCHANGE COMMISSION

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**The following document has been received:**

**Receiving:** John Denver De Guzman

**Receipt Date and Time:** November 09, 2023 02:04:00 PM

### Company Information

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**SEC Registration No.:** CN200808918

**Company Name:** KCCDFI MUTUAL BENEFIT ASSOCIATION INC.

**Industry Classification:** K74999

**Company Type:** Non-stock Corporation

### Document Information

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**Document ID:** OST11109202381783966

**Document Type:** General Information Sheet

**Document Code:** GIS

**Period Covered:** October 11, 2023

**Submission Type:** Annual Meeting

**Remarks:** None

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Acceptance of this document is subject to review of forms and contents

# GENERAL INFORMATION SHEET (GIS)

## NON-STOCK CORPORATION

FOR THE YEAR 2023

### GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL MEMBERS' MEETING AS STATED IN THE BY-LAWS. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL MEMBERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL MEMBERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. ALL CHANGES ARISING BETWEEN ANNUAL MEETINGS AND AFFECTING THE INFORMATION STATED IN THE GIS, SUCH AS THE DEATH, RESIGNATION OR CESSATION OF HOLDING OF OFFICE OF A DIRECTOR, TRUSTEE, OR OFFICER, SHALL BE REFLECTED IN AN AMENDED GIS LABELED AS SUCH AND THE CHANGES CLEARLY HIGHLIGHTED. THE AMENDED GIS SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGES OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE.
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS COMPLIANT WITH EXISTING RULES AND REGULATIONS.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/TRUSTEES/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>	KCCDFI MUTUAL BENEFIT ASSOCIATION, INC.		<b>DATE REGISTERED:</b>	June 12, 2008
<b>BUSINESS/TRADE NAME</b>	KCCDFI MUTUAL BENEFIT ASSOCIATION, INC.		<b>FISCAL YEAR END:</b>	Dec. 31, 2022
<b>SEC REGISTRATION NUMBER:</b>	CN200808918		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b>	007-053-951
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b>	January 21st		<b>WEBSITE/URL ADDRESS:</b>	<a href="http://www.kccdfimba.com">www.kccdfimba.com</a>
<b>DATE OF ACTUAL MEETING:</b>	11-Oct-23		<b>EMAIL ADDRESS:</b>	<a href="mailto:kccdfi_mba@yahoo.com.ph">kccdfi_mba@yahoo.com.ph</a>
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b>	2nd flr VH Wee Building, veterans Ave., Zamboanga City		<b>TELEPHONE NUMBER(S):</b>	(063) 990-2429
<b>COMPLETE BUSINESS ADDRESS:</b>	2nd flr VH Wee Building, veterans Ave., Zamboanga City		<b>FAX NUMBER(S):</b>	none
<b>OFFICIAL E-MAIL ADDRESS</b>	<b>ALTERNATE E-MAIL ADDRESS</b>	<b>OFFICIAL MOBILE NUMBER</b>	<b>ALTERNATE MOBILE NUMBER</b>	
<a href="mailto:kccdfi_mba@yahoo.com.ph">kccdfi_mba@yahoo.com.ph</a>	<a href="mailto:kccdfimba@gmail.com">kccdfimba@gmail.com</a>	0935-626-5025	0935-442-7307	
<b>PRIMARY PURPOSE ENGAGED IN:</b>	KCCDFI MUTUAL BENEFIT ASSOCIATION INC. IS FORMED TO ADVANCE INTERESTS & PROMOTE THE WELFARE OF THE POOR IN PARTICULAR & THE INTEREST & WELFARE OF THE PHILIPPINES IN GENERAL.			
<b>NAME OF EXTERNAL AUDITOR &amp; SIGNING PARTNER:</b>	QUILAB & GARSUTA, CPA's	<b>SEC ACCREDITATION NUMBER:</b>	<b>TELEPHONE NUMBER(S):</b>	
<b>IF ENGAGED IN MICROFINANCE BUSINESS, CHECK SERVICES</b>		<b>TO BE FILLED UP BY SEC PERSONNEL:</b>		
<input type="checkbox"/> Deposits <input type="checkbox"/> Insurance Products <input type="checkbox"/> Loans <input type="checkbox"/> Payment Services <input type="checkbox"/> Money Transfer <input type="checkbox"/> Others		<b>INDUSTRY CLASSIFICATION CODE:</b>	<b>NATIONAL GEOGRAPHICAL CODE (NGC):</b>	

# GENERAL INFORMATION SHEET

## NON-STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**Corporate Name:**

KCCDFI MUTUAL BENEFIT ASSOCIATION, INC.

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**

☒ Yes ☐ No

**Please check the appropriate box:**

**1.**

- ☐ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Associations
- ☐ f. Pawnshops
- ☐ g. Foreign Exchange Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electronic Money Issuers
- ☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

**4.** ☐ Jewelry dealers in precious metals, who, as a business, trade in precious metals

**5.** ☐ Jewelry dealers in precious stones, who, as a business, trade in precious stone

**6.** Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
- ☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- ☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- ☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

**7.** Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
- ☐ b. management of bank, savings or securities accounts
- ☐ c. organization of contributions for the creation, operation or management of companies
- ☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

**8.** ☐ None of the above

Describe nature of business:

**2.**

- ☐ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☐ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-need Companies
- ☒ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

**3.**

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering or otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?**

☒ Yes ☐ No

# GENERAL INFORMATION SHEET

NON-STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: KCCDFI MUTUAL BENEFIT ASSOCIATION, INC.

## DIRECTORS / OFFICERS

NAME AND CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INCORPORATOR	BOARD	SEX	OFFICER	TAX IDENTIFICATION NUMBER
1. MA. CRISTINA DELA CRUZ BUGAY P7 TROPICAL, TUGBUNGAN, ZAMBOANGA CITY	FILIPINO	N	C	F	PRESIDENT	707-653-391
2. RACHEL S. NATIVIDAD PUROK 1, SANGALI, ZAMBOANGA CITY	FILIPINO	N	M	F	VICE-PRESIDENT	446-495-407
3. HERMIE A. HASAN LUYAHAN, PASONANCA, ZC	FILIPINO	N	M	F	SECRETARY	765-563-707
4. LIDA A. SANTOS 820 ATILANO STREET, TETUAN, ZAMBOANGA CITY	FILIPINO	N	M	F	TREASURER	474-201-162
5. CATHERINE A. ELUMBRA TEODORO LANE, GOV. RAMOS, ZAMBOANGA CITY	FILIPINO	Y	M	F	N	934-009-957
6. DEZZA S. MOHAMMAD 18-AB CANDIDO ST. TETUAN, ZAMBOANGA CITY	FILIPINO	N	M	F	N	925-169-995
7. NEVILYN P. ABUALAS A.S. ALVAREZ DR. ALFARO ST. TETUAN, ZAMBOANGA CITY	FILIPINO	N	M	F	N	938-554-392
8.						
9.						
10.						
11.						
12.						
13.						
14.						
15.						

### INSTRUCTIONS:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.  
FOR INCORPORATOR COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.  
FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER.  
FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, SUCH AS:

PRE - PRESIDENT

CEO - CHIEF EXEC. OFFICER

CTO - TREASURER

COO - CHIEF OPERATING OFFICER

CSO - CORPORATE SECRETARY

LBG - LEGAL COUNSEL

AUD - EXTERNAL AUDITOR

GOV - GOVERNMENT REPRESENTATIVE

OTR - OTHERS

N - NONE



**GENERAL INFORMATION SHEET  
NON-STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** KCCDFI MUTUAL BENEFIT ASSOCIATION, INC.

**1. INTERCOMPANY AFFILIATIONS**

PARENT COMPANY	SEC REG. NO.	ADDRESS
KCCDMFI	CN 2005302521	KCCDFI Bldg. MCLL Highway Gulwan, Zamboanga City 7000
AFFILIATE	SEC REG. NO.	ADDRESS

NOTE: USE ADDITIONAL SHEET IF NECESSARY

2. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (in PhP)	DATE OF BOARD RESOLUTION
2.1 STOCKS		
2.2 BONDS/COMMERCIAL PAPER (issued by private corporations)		
2.3 LOANS/ CREDITS/ ADVANCES		
2.4 GOVERNMENT TREASURY BILLS		
2.5 OTHERS		

3. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF MEMBERS' RATIFICATION
3.1		
3.2		
3.3		
3.4		
3.5		

4. FUND BALANCE (in PhP):	
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**5. SECONDARY LICENSE/REGISTRATION/AUTHORITY/ACCREDITATION OTHER GOVERNMENT AGENCY:**

5.1 NAME OF AGENCY:	BANGKO SENTRAL NG PILIPINAS	INSURANCE COMMISSION	DEPARTMENT OF EDUCATION	COMMISSION ON HIGHER EDUCATION	TECHNICAL EDUCATION AND SKILLS DEVELOPMENT AUTHORITY	DEPARTMENT OF SOCIAL WELFARE AND DEVELOPMENT
5.2 DATE ISSUED:		July 1, 2010				
5.3 DATE STARTED OPERATIONS:		October 15, 2009				

6. TOTAL ANNUAL COMPENSATION OF DIRECTORS/TRUSTEES DURING THE PRECEDING FISCAL YEAR (in PhP)	7. TOTAL NO. OF OFFICERS	8. TOTAL NO. OF RANK & FILE EMPLOYEES	9. TOTAL MANPOWER COMPLEMENT
0	7	8	8

NOTE: USE ADDITIONAL SHEET IF NECESSARY


I, HERMIE HASAN, Corporate Secretary of KCCDFI MUTUAL BENEFIT ASSOCIATION, INC. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232 ).

Done this 08 NOV 2023 day of November, 2023 in Zamboanga City.

  
(Signature over printed name)

**SUBSCRIBED AND SWORN TO** before me in Zamboanga City on 08 NOV 2023 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of Zamboanga City issued at 08 NOV 2023.

Doc. No. 129  
Page No. 26  
Book No. x1  
Series of: 2023

NOTARY PUBLIC

**ATTY. ROSALTO L. RECO**

Notary Public for Zamboanga City  
Notarial Commission No.: 2022-085  
Commissioned 03 October 2022, ending 31 December 2023  
2<sup>nd</sup> Fl., Astoria Printing Building,  
Mayor Jaldon St., Zone II, Zamboanga City  
PTR No.: 2544185, 03 January 2023, Zamboanga City  
IBP O.R. No.: 188210, 15 December 2022, ZAMBASULTA  
Roll of Attorneys No.: 82148-05/27/2022  
MCLE Compliance No.: VIII-0001527; valid until 14 April 2028

# BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER: CN200808918

CORPORATE NAME: KCCDFI MUTUAL BENEFIT ASSN. INC.

## Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

## Category

## Description

- A Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Bugay, Ma. Cristina D	P7, Tropical, Tugbungan, ZC	Filipino	08/13/77	770-030-683	1	I	I
Natividad, Rachel S.	Purok 1 Sangali, Zamboanga City	Filipino	07/27/73	446-495-407	1	I	I
Hasan, Hermie A.	Luyahan, Pasonanca, Z.C.	Filipino	10/17/66	765-563-707	1	I	I
Santos, Lida A	820 Atilano St. Tetuan, Zamboanga City	Filipino	06/05/70	474-201-162	1	I	I
Elumbra, Catherine A.	Teodoro Lane, Gov. Ramos, Sta. Maria, ZC	Filipino	01/11/81	934-009-957	1	I	I
Mohammad, Dezza S.	18-AB Alvarez, Alfaro St., ZC	Filipino	02/05/80	925-169-995	1	I	I
Abualas, Nevilyn P.	A.S. Alvarez, Alfaro St., ZC	Filipino	05/08/81	938-554-392	1	I	I

Note: This page is not for uploading on the SEC IView.

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup> For Stock Corporations.